

**HKIB-HKUST ECF-Fintech Programme**  
**Module 5 – Regulatory Trends and Data Ethics**  
**Cohort 1: January – February 2023**

**Summary of Changes of the PowerPoint Slides**

**Chapter 1 – Introduction to Fintech Compliance**

Slide 21 – slide updated

Slide 62 – slide updated

**Chapter 2 – Personal Data Regulatory Regimes and Core Requirements**

Slide 15 – slide updated

Slide 16 – minor formatting change

Slide 19 – minor formatting change

Slide 84 – added content under “Requirements”

**Chapter 3 – Personal Data Regulatory Requirements and Management Tools**

Slide 23 – minor formatting update

Slide 26 – added graphic

Slide 41 – slide updated

Slide 58 – slide updated

Slide 59 – slide updated

Slide 63 – removed typo

Slide 64 – duplicated review questions and updated

Slide 65 – added about exam

**Chapter 4 – Management Tools and Regulatory Requirement on ‘Traditional’ Technologies**

Slide 62 – slide updated

Slide 63 – slide updated

Slide 64-77 – newly added slides for group discussion answers

Slide 80 – slide updated

Slide 81-82 – added about exam

**Chapter 5 – Regulatory Requirements on ‘Traditional’ Technologies and Trends**

Slide 16 – minor change to add clarity - like specifying who 'they' mean

Slide 29 – left/right sides reversed to align it to slide 30

Previous slide 28 moved to slide 26 – just order change

Slides 32-35 – indentation – sub-bullets indented

Slide 39 – PET categorised and further expanded

Slide 50-51 – group discussion answers expanded

Slide 52-55 – newly added slides for group discussion answers

Slide 59 – added about exam

**Chapter 6 – Regulatory Requirements on Fintech**

Slide 10 – added timelines/dates

Slide 11 – added more labels and corrected typo

Slide 12 – added Phase IV controls

Slide 17 – re-drawn parts to add clarity

Slide 72-73 – added some exam notes

## **Chapter 7 – Data Ethics and AI Regulation**

Slide 7 – enhanced CILO to narrow down/make more specific the outcomes

Slide 11 – folded back some of the discussion points from Chapter 6 to enhance contents

Slide 18 – typo on the three human oversight models

Slide 23 – added source to highlight the emphases/perspective

Slide 24-26 – bolded some keywords

Slide 28 – added source to highlight the emphases/perspective

Slide 29 – bolded some keywords

Slide 37 – amended terminology

Slide 62 – added exam page

Slide 63 – added Module Q&A page